

For Six Month Period Ending DEC 31 2001
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.
Dellums, Brauer, Halterman & Associates, LLC 5442

(c) Business Address(es) of Registrant
1736 Franklin Street, Suite 500
Oakland, CA 94612

2. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:
(1) Residence address Yes ☐ No ☐
(2) Citizenship Yes ☐ No ☐
(3) Occupation Yes ☐ No ☐

(b) If an organization:
(1) Name Yes ☐ No ☒
(2) Ownership or control Yes ☐ No ☒
(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.
Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (a waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

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4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☒

If yes, identify each such person and describe his service.

- (b) Have any employee or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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- (c) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement? Yes ☐ No ☐ N/A

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of termination

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

Republic of Haiti

10. **EXHIBITS A AND B**

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following: N/A

Exhibit A ³	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Exhibit B ⁴	Yes <input type="checkbox"/>	No <input type="checkbox"/>

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

SEE ATTACHED

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places, of delivery, names of speakers and subject matter.

SEE ATTACHED

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government, a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶

Date	From Whom	Purpose	Amount
7/2/01	Republic of Haiti	Fees for June	\$30,000
7/11/01	Republic of Haiti	Fees for July	\$30,000
8/27/01	Republic of Haiti	Fees for August	\$30,000
9/12/01	Republic of Haiti	Fees for September	\$30,000
11/6/01	Republic of Haiti	Fees for Oct. & Nov.	\$60,000
12/3/01	Republic of Haiti	Fees for December	\$30,000

\$210,000

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, and 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, and 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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See attached.

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒No ☐

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
June 2001	\$100.00	Friends of Barbara Lee	Barbara Lee
June 2001	\$100.00	Friends of Charlie Rangel	Charles Rangel

** Both contributions made by Charles Stephenson

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials¹²?
 Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

Republic of Haiti

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|--|---|---|
| <input type="checkbox"/> Radio or TV broadcasts | <input checked="" type="checkbox"/> Magazine or newspaper articles | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Advertising campaigns | <input checked="" type="checkbox"/> Press releases | <input checked="" type="checkbox"/> Pamphlets or other publications | <input type="checkbox"/> Lectures or speeches |
| <input type="checkbox"/> Other (specify) _____ | | | |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- | | | |
|---|---|---|
| <input type="checkbox"/> Public Officials | <input checked="" type="checkbox"/> Newspapers | <input type="checkbox"/> Libraries |
| <input checked="" type="checkbox"/> Legislators | <input type="checkbox"/> Editors | <input type="checkbox"/> Educational institutions |
| <input checked="" type="checkbox"/> Government agencies | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups |
| <input type="checkbox"/> Other (specify) _____ | | |

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☒

ATTACHED

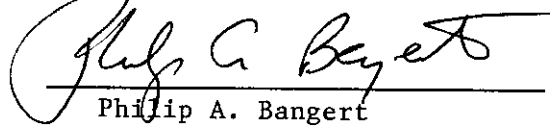
23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☒

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI--EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

1/31/02(Type or print name under each signature¹³)Philip A. BangertPatton Boggs LLPAttorney in Fact forDellums, Brauer and Halterman LLC

2002 FEB -1 AM 10:00

NOT RECORDED

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials - page 8 of Form CRM-154, formerly Form OBD-64-Supplemental Statement):

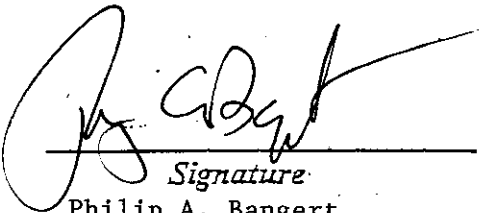
YES ☒ or NO _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ or NO _____

(If your answer to question 2 is "yes" please forward for our review copies of all material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)



Signature

Philip A. Bangert
Attorney in Fact
Dellums, Brauer, Halterman and Associates LLC

1/31/02

Date

2002 FEB - 17 AM 10:00

Please type or print name of
signatory on the line above

Title

11. During this 6 month period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement?

June 26, 2001 Meeting with Representative William Delahunt to discuss the status of Haiti and how U.S. policy can help in the rebuilding on the country.

June 28, 2001, Meeting with Representative John Conyers to inform him that the firm is now representing the Republic of Haiti. Discuss U.S. policy towards the country and what could be done to improve quality of life for Haitians.

August 2001, meeting with Representative Henry Hyde on the full range of issues relating to Haiti. At issue was the support of the Congress for Haiti request for funds from the Inter-American Development Bank (IADB).

August 2001, meeting with Ambassador Einaudi of the Organization of American States. Discussion on what Haiti must do to qualify for funds from the IADB.

July 18, 2001, meeting with Senator Christopher Dodd in his capacity as member of Senate Foreign Relations Committee, and to discuss U.S. policy towards Haiti.

August 7, 2001, in a meeting with Secretary of State Colin Powell, Mr. Dellums informed him that he was representing Haiti. The Secretary wanted to discuss the status of Haiti in relationship to receiving IADB funds. Meeting with Secretary was to present to him the final report of the President's Advisory Council on HIV/AIDS and Haiti came up in that discussion.

November 2001, calls and meetings with Senator DeWine, Kolbe, Chaffe and Dodd to discuss the status of Haiti in receiving IADB funds.

November 20, 2001 Conducted a press briefing for the purpose of discussing policy toward the Republic of Haiti. Press in attendance: Askia Muhammad, Independent, Bill Reid, News Dimensions Newspaper, Hazel Edney, National Newspaper Publishers Association Deborah Simmons, Washington Times Newspaper.

December 2001 - Mr. Dellums called and spoke with Representative Gephardt and Representative Eddie Bernice Johnson in an effort to obtain IADB funds for Haiti, prior to a Congressional recess.

December 6, 2001 meeting with Reps. Eddie Bernice Johnson, Barbara Lee, Earl Hilliard, Carolyn Cheeks Kilpatrick, John Conyers, Diane Watson, Donald Payne, Gregory Meeks and Donna Christian-Christensen, during a visit by Haiti's Ministers of Foreign Affairs and Finance. The meeting discussed recent political and economic developments in Haiti and the current hold on critical humanitarian and development loans from the IADB.

December 6, 2001 Conducted a press briefing with the Ministers of Foreign Affairs and Finance to discuss recent political and economic developments in Haiti and the current U.S. hold on critical humanitarian and development loans from the Inter-American Development Bank. Press in attendance: Askia Muhammad, Independent, Bill Reid, New Dimensions Newspaper, Hazel Edney, National Newspaper Publishers Association, George Wilson, Radio One, Charles Cobb, All Africa.Com, and Denise Rolark Barnes, Washington Informer

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DEPARTMENT OF
COMMERCE

12. During this 6 month period, have you on behalf of any foreign principal engaged in political activity as defined below?

June 26, 2001 Meeting with Representative William Delahunt to discuss the status of Haiti and how U.S. policy can help in the rebuilding on the country.

June 28, 2001, Meeting with Representative John Conyers to inform him that the firm is now representing the Republic of Haiti. Discuss U.S. policy towards the country and what could be done to improve quality of life for Haitians.

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15 (a) DISBURSEMENT-MONIES

During this 6 month period, have you disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement?

DATE	TO WHOM	PURPOSE	AMOUNT
June - December		Office Expenses (photocopy, telephone, cellular phone, postage, facsimile)	\$ 1500.00
June 26, 2001	Kinkos	Produce Briefing Book	\$ 88.32
June, 2001		Salaries for RDellums, CDellums, CStephenson, RErvin	\$25500.00
July, 2001		Salaries for RDellums, CDellums, CStephenson, RErvin	\$25500.00
August 31, 2001	United Airlines	Travel to Haiti for RVDellums for meetings with Haiti officials	\$ 1416.72
August 31, 2001	United Airlines	Travel to Haiti for CStephenson for meetings with Haiti officials	\$ 1566.72
August 31, 2001	Sheraton	Lodging for RVDellums	\$ 173.58
August 31, 2001	Sheraton	Lodging for CStephenson	\$ 59.92
August, 2001		Salaries for RDellums, CDellums, CStephenson, RErvin	\$25500.00
September, 2001		Salaries for RDellums, CDellums, Cstephenson, RErvin	\$25500.00
October, 2001		Salaries for RDellums, CDellums, CStephenson, RErvin	\$25500.00
November 20, 2001	City Club	Luncheon Meeting	\$ 500.00
November 20, 2001	Kinkos	Press Briefing Materials	\$ 243.89
November 20, 2001	Kinkos	Produce Briefing Books For Congressional Black Caucus	\$ 607.88
November 2001	PR Newswire	Wire service	\$ 793.13
November 6, 2001	Sunny's Executive Sedan Service	Transportation	\$ 453.10
November 13, 2001	Sunny's Executive Sedan Service	Transportation	\$ 407.79
November 20, 2001	Sunny's Executive Sedan Service	Transportation	\$ 453.10

November 27, 2001	Sunny's Executive Sedan Service	Transportation	\$ 233.02
November, 2001		Salaries for RDellums, CDellums, CStephenson, RErvin	\$25500.00
December 4, 2001	Sunny's Executive Sedan Service	Transportation	\$ 266.73
December 6, 2001	Kinkos	production of briefing books for Ministers' visit	\$ 528.18
December 6, 2001	City Club	Luncheon Meeting	\$ 500.00
December 6, 2001	Sunny's Executive Sedan Service	Transportation	\$ 362.48
December 11, 2001	Sunny's Executive Sedan Service	Transportation	\$ 82.86
December, 2001		Salaries for RDellums, CDellums, Cstephenson ,RErvin	\$25500.00
		TOTAL	\$188,837.42

DBA FARA

DELLUMS • BRAUER • HALTERMAN
& Associates, LLC

PLEASE NOTE NEW MAILING ADDRESS
1736 FRANKLIN STREET, SUITE 500
OAKLAND, CA 94612

5 JUN 13 PM 2:54

405 14th Street
Suite 907
Post Office Box 70081
Oakland, CA 94612
510 663-0936
fax 663-0947
dbh@dbhlc.com
www.dbhlc.com

MEMORANDUM

DT: June 13, 2001
TO: Philip A. Bangert, Esq., Patton Boggs LLP
FR: Lee Halterman
FAX: 202-457-6315 (hard copy to follow by U.S. Mail)
PGS: 1
RE: Power of Attorney to you

I, H. Lee Halterman, managing partner of Dellums, Brauer, Halterman & Associates, LLC, (DBH) a California limited liability company, hereby authorize you to act as attorney in fact for DBH for the purposes of filing required statements and forms under the Foreign Agents Registration Act and the Lobbying Disclosure Act and to confirm my earlier oral authorization for you to file reports and forms under the District of Columbia Foreign Limited Liability Company Qualification statute.

Executed this date: 13 June 2001

H. Lee Halterman, Managing Partner

H. Lee Halterman

2002 JUN -1 AM 10:00